

08/24/01

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 200005 U.S. APPLICATION NO. (If known, see 37 C.F.R.1.5) <b>09/914152</b>
INTERNATIONAL APPLICATION NO. PCT/JP00/01070	INTERNATIONAL FILING DATE 24 February 2000	PRIORITY DATE CLAIMED 25 February 1999
TITLE OF INVENTION NOVEL POLYPEPTIDES		
APPLICANT(S) FOR DO/EO/US Hisashi Narimatsu, et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 into English (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 into English (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11 to 20 below concern other document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</li> <li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>18. <input checked="" type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: Request for Approval of Proposed Drawing Changes with 1 sheet of dwg.; Copies of: PCT Request (Form PCT/RO/101), Form PCT/ISA/210; Form PCT/IB/301; Form PCT/IB/304 and Form PCT/IB/308.</li> </ol>		

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">09/914153</div>		INTERNATIONAL APPLICATION NO. PCT/JP00/01070		ATTORNEY'S DOCKET NUMBER 2139.25					
21. <input checked="" type="checkbox"/> The following fees are submitted: <div style="margin-top: 5px;"> <b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b>            Search Report has been prepared by the EP or JPO ..... \$860.00            International preliminary examination fee paid to USPTO            (37 CFR 1.492(a)(1)) ..... \$690.00            No international preliminary examination fee paid to USPTO (37 CFR 1.492            (a)(1)) but international search fee paid to USPTO (37 CFR 1.492(a)(2)) ..... \$710.00            Neither international preliminary examination fee (37 CFR 1.492(a)(1))            nor international search fee (37 CFR 1.492(a)(2)) paid to USPTO ..... \$1,000.00            International preliminary examination fee paid to USPTO (37 CFR 1.492            (a)(4)) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  <div style="text-align: right; margin-top: 5px;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div> </div>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">CALCULATIONS</th> <th style="width:50%;">PTO USE ONLY</th> </tr> <tr><td style="height: 100px;"></td><td></td></tr> </table>		CALCULATIONS	PTO USE ONLY		
CALCULATIONS	PTO USE ONLY								
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">\$</td> <td style="width:50%;"></td> </tr> </table>		\$			
\$									
Claims	Number Filed	Number Extra	Rate						
Total Claims	94 - 20 =	74	X \$18.00	\$1332.00					
Independent Claims	7 - 3 =	4	X \$80.00	\$320.00					
Multiple dependent claim(s) (if applicable)				+ \$270.00	\$270.00				
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$2782.00					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+	\$				
<b>SUBTOTAL =</b>				\$2782.00					
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">\$</td> <td style="width:50%;"></td> </tr> </table>		\$			
\$									
<b>TOTAL NATIONAL FEE =</b>				\$2782.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">\$40.00</td> <td style="width:50%;"></td> </tr> </table>		\$40.00			
\$40.00									
<b>TOTAL FEES ENCLOSED =</b>				\$2822.00					
				<b>Amount to be:</b>					
				<b>refunded</b>	\$				
				<b>charged</b>	\$				
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>2822.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1205</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card</b> <b>information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.									
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR</b> <b>1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>									
SEND ALL CORRESPONDENCE TO: <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 45%;">           Lawrence S. Perry            FITZPATRICK, CELLA, HARPER &amp; SCINTO            30 Rockefeller Plaza            New York, NY 10112            Tel: (212) 218-2100            Fax: (212) 218-2200         </div> <div style="width: 45%; text-align: right;"> <div style="margin-bottom: 10px;">             SIGNATURE         </div> <div> <u>Lawrence S. Perry</u>            NAME  <u>31,865</u>            REGISTRATION NUMBER         </div> </div> </div>									